

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Samtani et al.

Serial No.: Not Yet Assigned

Group Art Unit: Unknown

Filing Date: Concurrently Herewith

Examiner: Unknown

For: SOFTWARE UPGRADE AND DOWNGRADE IN SYSTEMS WITH PERSISTENT DATA

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

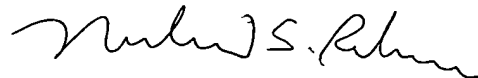
Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicants' duty of disclosure under 37 CFR §1.56, applicants respectfully bring the following documents, listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicants are aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 09-0441.

Respectfully submitted,



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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Docket Number (Optional)

ARC920030081US1

Application Number

Not Yet Assigned

Applicant(s)

Samtani et al.

Filing Date

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Group Art Unit

Unknown

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,922,072	07/13/1999	Hutchinson et al.			
		5,960,189	09/28/1999	Stupek, Jr. et al.			
		5,961,642	10/05/1999	Lewis			
		6,138,153	10/24/2000	Collins, III et al.			
		6,385,770	05/07/2002	Sinander			
		6,453,468	09/17/2002	DSouza			
		US 2002/0116701 A1	08/22/2002	Asada et al.			
		US 2003/0005426 A1	01/02/2003	Scholtens et al.			
		US 2003/0066066 A1	04/03/2003	Nguyen et al.			
		US 2003/0140339 A1	07/24/2003	Shirley et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		"Safe Mechanism for Installing Operating System Updates with Applications", IBM Technical Disclosure Bulletin, Vol. 41, No. 1, January 1998, pp. 557-559
		"Method of Including Expandable Arrays in Persistent Expandable Data Structures", IBM Technical Disclosure Bulletin, Vol. 38, No. 6, June 1995, pp. 185-189

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.